



An Automated Energy Detection Algorithm Based on Morphological Filter Processing with a Semi-Disk Structure

by Kwok F Tom

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This report is the result of applying morphological image and statistical processing techniques to the energy detection scenario of signals in the RF spectrum domain. A semi-disk structure is applied to the spectral data to estimate the envelope of the spectral response in the frequency domain. A morphological "opening" operation is then applied to the spectral envelope to obtain some measure of the background noise. These series of techniques provide for an automatic algorithm for determining						
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Preface

Energy detection in the RF spectrum is the most basic technique for signal detection. Typically, this requires establishing an energy detection threshold based on a noise-only condition (i.e., no signal present in the RF spectrum). Initially, the area of exploration for this report was to examine the potential of an automatic energy detection thresholding algorithm based on the RF measurement. It would not require the preliminary RF spectrum noise-only measurements to establish the energy detection threshold.

The technique of "opening" was very successful in determining an energy detection threshold for the RF spectrum with signal and noise-only environments. After examining the results of the energy detection algorithm, a second algorithm that incorporated a semi-disk structure on RF spectrum was developed. The windowing of the RF spectral data with this semi-disk structure had the benefit of reducing the number of false alarms on noise. This is the second of 5 reports that detail the energy detection techniques examined with the recorded RF spectrum measurements. ^{1–4}

¹ Tom K. An automated energy detection algorithm based on morphological and statistical processing techniques. Adelphi (MD): Army Research Laboratory (US); 2018 Jan. Report No.: ARL-TR-8272.

² Tom K. An automated energy detection algorithm based on morphological filter processing with a modified watershed transform. Adelphi (MD): Army Research Laboratory (US); 2018 Jan. Report No.: ARL-TR-8270.

³ Tom K. An automated energy detection algorithm based on kurtosis-histogram excision. Adelphi (MD): Army Research Laboratory (US); 2018 Jan. Report No.: ARL-TR-8269.

⁴ Tom K. An automated energy detection algorithm based on consecutive mean excision. Adelphi (MD): Army Research Laboratory (US); 2018 Jan. Report No.: ARL-TR-8268.

1. Introduction

Energy detection is the simplest method of detecting a signal in the frequency spectrum. When doing so, a comparison is made between the frequency spectral component energy and a detection threshold level. Knowledge of the frequency spectrum is usually necessary to establish this detection threshold level. Various methods can be used to determine the detection threshold level, such as establishing the system noise statistics offline and setting the threshold for a given probability of detection versus probability of false alarm.

The goal of this study was to develop an algorithm that can analyze the frequency spectrum and establish a threshold value based on the spectral data. The automated processing employs techniques from image processing and statistical analysis. A combination of techniques from these 2 areas resulted in an algorithm for determining a threshold detection level. In this evaluation, a dilation of the spectral data was performed with a semi-disk structure. This operation provided an estimate of the spectral data envelope in the frequency domain. Morphological filtering was then applied to the spectral envelope data to establish a noise level. The morphological filtering, consisting of erosion and dilation, is repeatedly applied to the spectral envelope data until certain statistical criteria are met. An offset was then added to the morphological filtered data to form the threshold for energy detection.

2. Data Collection and Statistical Summary

The local RF spectrum was measured in 2013 on the rooftop of building 204 at the US Army Research Laboratory's (ARL's) Adelphi location. An Agilent N9342CN spectrum analyzer and a Discone antenna was used to collect RF spectrum data. This spectrum analyzer was operated under the control of a LabVIEW software program to acquire and store data with different resolution bandwidth (RBW) from 1 kHz to 1 MHz.

These data files represent various sizes of RF spectral coverage from 10 MHz up to 4 GHz. The number of data files for each spectral band varied. The larger RBW files were acquired over seconds of data acquisition time versus the small RBW files. Small RBW provides fine spectral resolution, but it impacts data acquisition time for spectral coverage and data size. Depending on the RBW and spectral coverage, a data file could require a few hours of acquisition.

Table 1 summarizes the data collection measurement files. The RF spectral bands covered the spectrum from 10 MHz to 4 GHz. In general, various spectral bands

were measured with 4 RBW configuration. Data file size is inversely proportional to the RBW. Data file size is proportional to the spectral band coverage. The data size varied from approximately 1 KSample to 4 MSample data points per file.

Table 1 Summary of the RF spectrum collection

Spectral band coverage	Number of RBW measurement	RBW
1.1–1.6 GHz	4	1 kHz, 10 kHz, 100 kHz,
		1 MHz
2–3 GHz	4	1 kHz, 10 kHz, 100 kHz,
		1 MHz
3–4 GHz	3	10 kHz, 100 kHz, 1 MHz
4–6 GHz	3	10 kHz, 100 kHz, 1 MHz
10 MHz–1 GHz	4	1 kHz, 10 kHz, 100 kHz,
		1 MHz
10 MHz–2 GHz	4	1 kHz, 10 kHz, 100 kHz,
		1 MHz
10 MHz-3 GHz	4	1 kHz, 10 kHz, 100 kHz,
		1 MHz
10 MHz–4 GHz	4	1 kHz, 10 kHz, 100 kHz,
		1 MHz
100 MHz–1 GHz	1	100 kHz

3. Statistical Processing

3.1 Statistical Analysis

Statistical analysis is the mathematical science dealing with the analysis or interpretation of data. The data analyst uses a few straightforward statistical techniques as a means of summarizing the collected data. These statistical techniques are under the area of descriptive statistics, which is a methodology to condense the data in quantitative terms.

In commercial prognostics and diagnostic vibrational monitoring applications, statistical techniques that are mainly used for alarm purposes in industrial plants are the statistical moments of order 2, 3, and 4. The probability density function (PDF) of the vibrational time series of a good bearing has a Gaussian distribution (also known as a normal distribution), whereas a damaged bearing results in a non-Gaussian distribution with dominant tails because of a relative increase in the number of high levels of acceleration. These techniques can be applied to the RF spectral data with a different interpretation of the results.¹

3.1.1 Moments

If these moments are calculated about the mean, they are called central statistical moments. The first and second moments are well known, being the mean and the variance, respectively. These are analogous to the first and second area moments of inertia with the area shape defined by the PDF. The third moment is termed skewness and the fourth moment is termed kurtosis. The general equation for the order of moment is as follows:

$$M_p = \frac{1}{N} \sum_{i=1}^{N} (x_i - \overline{x})^p,$$

where p is the order of the moment,

N is the number of data value,

i is the index of the data value, and

 \overline{x} is the mean value of the data set.

3.1.2 Mean

Mean is the most common measure of a statistical distribution. In this case, mean is the arithmetic average for a set of measurements.

$$\overline{x} = \mu = \frac{1}{N} \sum_{i=1}^{N} x_i.$$

3.1.3 Variance

Variance is a measure of the dispersion of a waveform about its mean—also called the second moment of the measurements.

$$\sigma^2 = \frac{1}{N} \sum_{i=1}^{N} (x_i - \overline{x})^2.$$

3.1.4 Standard Deviation

Standard deviation is a measure of the variation of a set of data values. The standard deviation is defined as the square root of the variance moment.

$$\sigma = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (x_i - \overline{x})^2}.$$

3.1.5 Kurtosis

Kurtosis is the fourth statistical moment, normalized by the standard deviation to the fourth power. It is a measure of whether the data are peaked or flat relative to a normal distribution. The noise in the RF spectrum is typically considered to have a normal distribution. The normal distribution has a value of 3.

$$\kappa = \frac{M_4}{\sigma^4}.$$

$$\kappa = \frac{\frac{1}{N} \sum_{i=1}^{N} (x_i - \overline{x})^4}{\sigma^4}.$$

$$\kappa = \frac{1}{N\sigma^4} \sum_{i=1}^{N} (x_i - \overline{x})^4.$$

3.1.6 Maximum

"Max" is the largest value of a set of numbers.

$$y = \max[x(n)].$$

3.1.7 Minimum

"Min" is the smallest value of a set of numbers.

$$y = \min[x(n)].$$

3.1.8 Median

The statistical median is an order statistic that gives the "middle" value of a set of samples. Median is the middle value of a set of data values that divides the set into 2 groups. Half the groups exist below and half exist above this value.

3.1.9 Rank Order Filter

Rank order filter is a sorting process by which a set of numbers is ordered from the smallest to the largest value. Rank order filtering is a nonlinear filtering technique that orders the contents of a filter kernel and selects the sample indexed by rank from the magnitude ordered samples.

Rank order filtering can be summarized as follows:

$$y = \sum_{i=1}^{N} a_i \widetilde{\mathbf{x}}_{(i)}$$

where $\tilde{x}_{(i)}$, $l=1,\cdots,N$ is the result of sorting the data in ascending order. With this definition, the max, min, and median can be obtained from a rank order filter as follows²:

Min:

$$y = \sum_{i=1}^{N} a_i \widetilde{x}_{(i)}$$
 $a_i = 1 \ for \ i = 1$ $0 \ otherwise$

Max:

$$y = \sum_{i=1}^{N} a_i \widetilde{x}_{(i)}$$
 $a_i = 1 \ for \ i = N$ $0 \ otherwise$

Median:

$$y = \sum_{i=1}^{N} a_i \widetilde{x}_{(i)}$$
 $a_i = 1 \ for \ i = \frac{N}{2}$ $0 \ otherwise$

3.1.10 Crest Factor (CF)

Crest factor (CF) is a measure of a waveform showing the ratio of peak values to the effective value. In other words, CF indicates how extreme the peaks are in a waveform.

$$Crest\ Factor = \frac{|x|_{peak}}{x_{rms}}.$$

Noise sources are characterized by their CF, which is the peak to average ratio of the noise. In a technical bulletin, XiTRON reported CF values between 5 and 7 for random noise.³ For example, a 5:1 CF of the noise voltage is $20\log 5 = 14$ dB. This is a measure of the quality of the noise distributions and one way to measure its

Gaussian nature.⁴ For the purpose of algorithm development, the CF equation was modified as follows:

$$Crest\ Factor = \frac{Max}{Median}.$$

3.2 Statistical Summary

There were 31 different groupings of the RF spectrum data measurements. The number of data files under each of the main groupings was varied. For the purpose of developing the algorithm, only a single data file was selected from each group. Each data file was processed to obtain the following characteristics: RBW, mean, standard deviation, median, max, min, kurtosis, and CF. A summary of the results is in Table 2.

The following results were noted:

- The smaller the RBW, the lower the noise floor. The equation for thermal noise power is P = kTB, where B is bandwidth. In this case, the RBW of 1 kHz has the lowest noise value. The RBW of 1 MHz has the highest noise value.
- Each 10-fold increase in bandwidth results in a 10-dB increase in noise power. This relationship is illustrated in this data set.
- The calculated mean and median values are very close for a given RF measurement configuration.
- The CF for noise-only data files was on the order of approximately 10 to 13. Noise-only data files were estimated by visually inspecting the spectrum plot.

Table 1 Statistical analysis of the RF spectrum measurements

Filename	Spectral Band	RBW	Mean	SD	Median	Max	Min	Kurtosis	CF
Air_test_1.1GHz_1.6GHzb_03_28_14_06_33_22	1.1 - 1.6 GHz	1000	-112.694	3.8444	-112.3	-101.2	-138.4	3.5571	11.1
Air_test_1.1GHz_1.6GHza_03_27_14_07_14_05	1.1 - 1.6 GHz	10000	-102.12	3.7226	-101.8	-91.69	-124.5	3.5134	10.11
Air_test_1.1GHz_1.6GHzc_03_31_14_06_47_26	1.1 - 1.6 GHz	100000	-90.9109	2.597	-90.75	-83.13	-101.2	3.0217	7.62
Air_test_1.1GHz_1.6GHzd_04_01_14_06_54_03	1.1 - 1.6 GHz	1000000	-80.5634	3.5741	-80.745	-55.36	-89.8	14.6861	25.385
Air_test_2GHz_3GHza_06_05_14_07_15_58	2 - 3 GHz	1000	-111.219	4.4991	-111.1	-77.55	-139	6.8918	33.55
Air_test_2GHz_3GHzb_05_29_14_06_28_28	2 - 3 GHz	10000	-101.006	4.1808	-100.8	-74.67	-122	6.1995	26.13
Air_test_2GHz_3GHzc_05_29_14_04_09_27	2 - 3 GHz	100000	-89.5666	3.4154	-89.68	-64.27	-100.3	13.2282	25.41
Air_test_2GHz_3GHzd_05_28_14_00_06_18	2 - 3 GHz	1000000	-79.6014	3.0753	-79.6	-58.74	-88.88	8.4466	20.86
Air_test_3GHz_4GHzb_06_12_14_06_30_17	3 - 4 GHz	10000	-100.51	3.7072	-100.2	-89.68	-123.7	3.5901	10.52
Air_test_3GHz_4GHzC_06_11_14_06_44_40	3 - 4 GHz	100000	-89.1625	2.5513	-89.075	-80.68	-102.3	3.0774	8.395
Air_test_3GHz_4GHzd_06_09_14_07_09_51	3 - 4 GHz	1000000	-79.0828	2.445	-78.94	-72.76	-88.5	2.9651	6.18
Air_test_4GHz_6GHza_04_24_14_07_05_26	4 - 6 GHz	1000	-107.559	4.1793	-107.2	-94.31	-136.3	3.3696	12.89
Air_test_4GHz_6GHzb_04_28_14_06_23_12	4 - 6 GHz	10000	-97.245	4.0398	-96.95	-84.05	-119.7	3.3249	12.9
Air_test_4GHz_6GHzc_04_29_14_06_48_42	4 - 6 GHz	100000	-85.9125	3.082	-85.77	-75.84	-98.78	2.9068	9.93
Air_test_10MHz_1GHza_04_17_14_07_09_30	10 MHz - 1 GHz	1000	-110.348	8.6126	-112.1	-28.37	-140.3	6.4788	83.73
Air_test_10MHz_1GHzb_04_21_14_07_17_44	10 MHz - 1 GHz	10000	-100.168	8.4775	-102	-27.95	-126.7	6.7602	74.05
Air_test_10MHz_1GHzc_04_22_14_06_39_58	10 MHz - 1 GHz	100000	-87.948	8.8113	-90.74	-27.28	-103.6	6.513	63.46
Air_test_10MHz_1GHzd_04_23_14_06_36_16	10 MHz - 1 GHz	1000000	-78.1054	8.3327	-80.59	-27.82	-89.88	7.5282	52.77
Air_test_10MHz_2GHzd_03_06_14_08_52_04	10 MHz - 2 GHz	1000	-111.275	6.9798	-112.1	-27.34	-138.3	9.5085	84.76
Air_test_10MHz_2GHzC_02_27_14_06_43_55	10 MHz - 2 GHz	10000	-100.894	7.0157	-101.8	-26.58	-125.9	10.4224	75.22
Air_test_10MHz_2GHzB_02_25_14_06_35_53	10 MHz - 2 GHz	100000	-89.242	6.7487	-90.59	-26.09	-102.4	12.7961	64.5
Air_test_10MHz_2GHz_02_20_14_06_55_36	10 MHz - 2 GHz	1000000	-78.8448	7.3203	-80.6	-26.54	-92.41	11.3819	54.06
Air_test_10MHz_3GHz_03_11_14_06_32_51	10 MHz - 3 GHz	1000	-111.373	6.1356	-111.8	-29.42	-140.5	11.0474	82.38
Air_test_10MHz_3GHzB_03_13_14_06_32_45	10 MHz - 3 GHz	10000	-100.787	6.3591	-101.4	-25.36	-125.9	11.7254	76.04
Air_test_10MHz_3GHzC_03_18_14_06_32_08	10 MHz - 3 GHz	100000	-89.1614	6.0257	-90.14	-24.16	-102.4	15.3376	65.98
Air_test_10MHz_3GHzd_03_20_14_08_09_07	10 Mhz - 3 GHz	1000000	-78.6492	6.7418	-80.8	-25.81	-90.38	13.0673	54.99
Air_test_10MHz_4GHza_04_10_14_07_01_22	10 MHz - 4 GHz	1000	-111.158	5.6813	-111.4	-28.22	-143.1	10.9573	83.18
Air_test_10MHz_4GHzb_04_11_14_06_10_18	10 MHz - 4 GHz	10000	-100.838	5.5851	-101.1	-26.85	-128	12.2576	74.25
Air_test_10MHz_4GHzc_04_15_14_07_01_09	10 MHz - 4 GHz	100000	-89.4431	5.1076	-90.03	-25.05	-103.5	18.317	64.98
Air_test_10MHz_4GHzd_04_16_14_06_42_27	10 MHz - 4 GHz	1000000	-79.0396	5.5441	-79.89	-26.72	-91.64	16.9073	53.17
Air_test_100MHz_1GHz_02_19_14_07_20_46	100 MHz - 1 GHz	100000	-88.0772	9.0701	-90.82	-23.91	-102.2	6.994	66.91

4. Morphological Image Processing

A description of a technique for automatically estimating the noise floor spectrum was given in a conference back in 1997.⁵ This technique is based on applying the morphological binary image processing operators to the RF spectrum. The technique works well in both flat and nonflat noise floor spectra. Morphology image processing is a set of nonlinear operations. Ready et al. note that "humans are good at estimating the noise floor spectrum by 'eyeballing' a spectral plot. Intuitively, we separate the spectral humps from the noise floor spectrum by eliminating those parts of the spectrum shape that are due to signals and visually draw in the noise floor spectrum."⁵

Morphology is a broad set of processing techniques that process images based on shapes. Morphological operations apply a structuring element to an input image, creating an output image of the same size. In a morphological operation, the value of each pixel in the output image is based on a comparison of the corresponding pixel in the input image with its neighbors. By choosing the size and shape of the

neighborhood, you can construct a morphological operation that is sensitive to specific shapes in the input image.

The most basic morphological operations are dilation and erosion. Dilation adds pixels to the boundaries of the objects in an image, while erosion removes pixels on object boundaries. The number of pixels added or removed from the objects in an image depends on the size and shape of the structuring element used to process the image. In the morphological dilation and erosion operations, the state of any given pixel in the output image is determined by applying a rule to the corresponding pixel and its neighbors in the input image. These rules are known as the erosion and dilation:

Erosion:

$$A \ominus B = \bigcap_{b \in B} A_{-b} .$$

Dilation:

$$A \oplus B = \bigcup_{a \in A} B_a$$
.

The opening of A by B is obtained by the dilation of A by B, followed by erosion of the resulting structure by B.

Opening:

$$A \circ B = (A \ominus B) \oplus B$$
.

The application of the opening technique on the RF spectrum is the basis for noise floor estimation. The estimation of the noise floor is used as a reference level to set a threshold detection level.⁶

In signal, statistical, and image processing, the minimum and maximum operators are typically encountered. Gil and Kimmel note, "In mathematical morphology, the result of such an operator is referred to as the erosion (or dilation) of the signal with a structuring element given by a pulse of width p."⁷

For the 1-D case, this reduces to a simple filter of just providing the max or min value of a set of values.⁷

1-D max filter: Given a sequence x_0, \dots, x_{n-1} and an integer p > 1, compute

$$y_i = \max_{0 \le j < p} x_{i+j}$$

for
$$= 0, \dots, n - p$$
.

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1-D min filter: Given a sequence x_0, \dots, x_{n-1} and an integer p > 1, compute

$$y_i = \min_{0 \le j < p} x_{i+j}$$

for
$$= 0, \dots, n - p$$
.

5. Algorithm

The algorithm development process was executed in MATLAB. Appendix A is the code used to process and generate the enclosed RF spectrum signature with the corresponding results of the morphological processing and resultant threshold detection level. The following is a description of the thresholding detection level generation based on morphological filter processing:

- 1) Determine the RBW of the spectral data.
- 2) Determine some statistics on the spectral data file: median, max, and CF.
- 3) Form an array based on a semi-disk. This array represents an 8-segment structure of a semi-disk at an arc of 22.5°.
- 4) Using the semi-disk structure, dilate the spectral data file. At each point in the origin spectral data, the data array of the semi-disk is added to the spectral data, and the maximum value saved. Figure 1 is a graph of a spectral data file with the dilated data overlaid on the spectral data file. The blue color is the measured spectral data, and the red color is the dilated results. Note how the dilated file follows the maximum envelop of the spectral data. Figure 2 is a zoomed-in section of this data file. One can see that valleys tend to be filled, and the RF spectral data take on a repeated semi-disk pattern.
- 5) Perform an erosion operation on the semi-disk dilated spectral data as computed in step 4. Starting from the lowest-frequency component, create the eroded spectral array with an initial sliding window of size 2. Basically, calculate the minimum value for the given window size, fill in that position, and shift the processing window one frequency position to calculate the next value. Continue on across the entire semi-disk spectral data array. For the last value, use the previous valid value that is calculated.
- 6) Perform a dilation operation on the eroded spectral array as calculated in step 5. In this case, the calculations are performed starting at the highest frequency and continue to the lowest frequency. Dilation is simply the maximum value in the windowed data set. The initial window size is 2. In

- this case, the maximum value is determined from the sliding window data and used to create the dilated spectral array.
- 7) Calculate statistics on the dilated spectral array from step 6: mean, median, max, and CF.
- 8) Repeat the morphological filtering of erosion and dilation on the spectral array by increasing the window size by 1 for every pass. For example, on the second pass, the window size is 3 for erosion and dilation operations. Continue with the morphological filtering process as long as the CF is greater than 10 and the mean power greater than 0.01 on each pass of the morphological filtering.
- 9) If the CF is not greater than 10, then perform the morphological filtering operations until the average power is greater than 0.01.
- 10) Once the convergence has been met, use the resulting morphological filtered data array (i.e., the dilated spectral array) to form the threshold as follows:

Threshold = Morphological Filtered Spectral Array + 25 - $2.9 \times 10 \log_{10} \left(\frac{RBW}{1000}\right)$

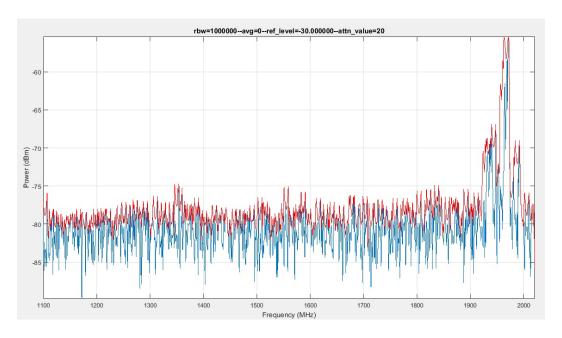


Fig. 1 Dilation of spectral data file with semi-disk structure

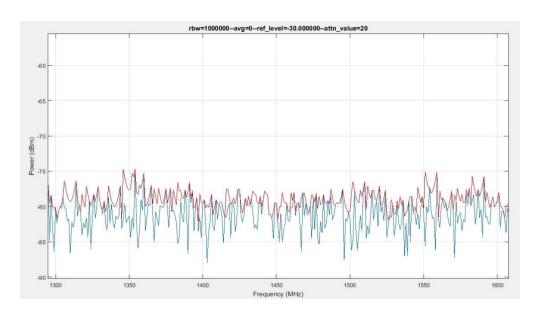


Fig. 2 Close-in examination of dilated spectral data file

6. Conclusion

It is possible to estimate the energy detection threshold level based on morphological filtering.

Appendix B displays the graphs of the morphological processing for each of the selected RF spectrum data files. The red curve is the result of the morphological processing overlaid on top of the RF spectrum signature.

Appendix C displays the results of the threshold overlaid on top of the RF spectrum signature. The graphs are intended to provide the reader a qualitative sense of the effectiveness of the automatic enhanced threshold generation algorithm. Reduction in false alarms (over just morphological filtering case) can be achieved with an initial dilation of the spectral data with a semi-disk structure. Actual processing time and the number of iterations through the morphological filtering routine are highly dependent on the RBW and spectral coverage band. A small RBW over a large coverage band requires more time to complete the process. The threshold detection level obtained works well for signals present or noise-only RF spectrum data files. The red curve is the threshold when added to the morphological processed array as shown in Appendix B.

Overall, a visual inspection of the graphs shows that the algorithm works well. Further refinement of the algorithm is necessary to address potential issues related to the boundary edge of the spectral data file. The stop criteria for the morphological filtering and thresholding equation can be optimized further to reduce the false alarm detections.

7. References

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- 3. Crest factors and power analyzers. San Diego (CA): XiTRON Technologies; nd. Technical Brief TB 103 [accessed 2017 Nov 8]. http://www.xitrontech.com/assets/002/5788.pdf.
- 4. Noise: frequently asked questions. East Hanover (NJ): Noisewave; nd [accessed 2017 Nov 8]. http://www.noisewave.com/faq.pdf.
- 5. Ready MJ, Downey ML, Corbalis LJ. Automatic noise floor spectrum estimation in the presence of signals. Proceedings of Thirty-First Asilomar Conference on Signals, Systems & Computers; 1997 Nov. p 877–881.
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- 7. Gil Y, Kimmel R. Efficient dilation, erosion, opening, and closing algorithms. IEEE Transactions on Pattern Analysis and Machine Intelligence. 2002;24(12): 1606–1617.

Appendix A. MATLAB Code

```
function DiskMorphologicalFilterDetection5report()
% Make selection for data file to process
% SELECT = 1 to 31
SELECT = 1;
dir{1} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air_test_1.1GHz_1.6GHza\';
filename{1} = 'Air_test_1.1GHz_1.6GHza_03_27_14_07_14_05';
dir{2} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air_test_1.1GHz_1.6GHzb\';
filename{2} = 'Air_test_1.1GHz_1.6GHzb_03_28_14_06_33_22';
dir{3} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air test 1.1GHz 1.6GHzc\';
filename{3} = 'Air_test_1.1GHz_1.6GHzc_03_31_14_06_47_26';
dir{4} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air_test_1.1GHz_1.6GHzd\';
filename{4} = 'Air_test_1.1GHz_1.6GHzd_04_01_14_06_54_03';
dir{5} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air test 2GHz 3GHza\';
filename{5} = 'Air test 2GHz 3GHza 06 05 14 07 15 58';
dir{6} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air_test_2GHz_3GHzb\';
filename {6} = 'Air_test_2GHz_3GHzb_05_29_14_06_28_28';
dir{7} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air_test_2GHz_3GHzc\';
filename{7} = 'Air_test_2GHz_3GHzc_05_29_14_04_09_27';
dir{8} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air_test_2GHz_3GHzd\';
filename{8} = 'Air_test_2GHz_3GHzd_05_28_14_00_06_18';
dir{9} = 'K:\CognitiveRadar\spectrum monitoring\data\building204-
4c085\Air test 3GHz 4GHzb\';
filename{9} = 'Air test 3GHz 4GHzb 06 12 14 06 30 17';
dir{10} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_3GHz_4GHzC\';
filename{10} = 'Air_test_3GHz_4GHzC_06_11_14_06_44_40';
dir{11} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_3GHz_4GHzd\';
filename{11} = 'Air test 3GHz 4GHzd 06 09 14 07 09 51';
```

```
dir{12} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_4GHz_6GHza\';
filename{12} = 'Air_test_4GHz_6GHza_04_24_14_07_05_26';
dir{13} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air test 4GHz 6GHzb\';
filename{13} = 'Air test 4GHz 6GHzb 04 28 14 06 23 12';
dir{14} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_4GHz_6GHzc\';
filename{14} = 'Air_test_4GHz_6GHzc_04_29_14_06_48_42';
dir{15} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_1GHza\';
filename{15} = 'Air_test_10MHz_1GHza_04_17_14_07_09_30';
dir{16} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air test 10MHz 1GHzb\';
filename{16} = 'Air test 10MHz 1GHzb 04 21 14 07 17 44';
dir{17} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_1GHzc\';
filename{17} = 'Air_test_10MHz_1GHzc_04_22_14_06_39_58';
dir{18} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_1GHzd\';
filename{18} = 'Air_test_10MHz_1GHzd_04_23_14_06_36_16';
dir{19} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_2GHz\';
filename{19} = 'Air_test_10MHz_2GHz_02_20_14_06_55_36';
dir{20} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_2GHzB\';
filename{20} = 'Air_test_10MHz_2GHzB_02_25_14_06_35_53';
dir{21} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_2GHzC\';
filename{21} = 'Air_test_10MHz_2GHzC_02_27_14_06_43_55';
dir{22} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_2GHzd\';
filename{22} = 'Air test 10MHz 2GHzd 03 06 14 08 52 04';
dir{23} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_3GHz\';
filename{23} = 'Air_test_10MHz_3GHz_03_11_14_06_32_51';
dir{24} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_3GHzB\';
filename{24} = 'Air test 10MHz 3GHzB 03 13 14 06 32 45';
```

```
dir{25} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air test 10MHz 3GHzC\';
filename{25} = 'Air_test_10MHz_3GHzC_03_18_14_06_32_08';
dir{26} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_3GHzd\';
filename{26} = 'Air_test_10MHz_3GHzd_03_20_14_08_09_07';
dir{27} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_4GHza\';
filename{27} = 'Air_test_10MHz_4GHza_04_10_14_07_01_22';
dir{28} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_4GHzb\';
filename{28} = 'Air_test_10MHz_4GHzb_04_11_14_06_10_18';
dir{29} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_4GHzc\';
filename{29} = 'Air_test_10MHz_4GHzc_04_15_14_07_01_09';
dir{30} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air_test_10MHz_4GHzd\';
filename{30} = 'Air_test_10MHz_4GHzd_04_16_14_06_42_27';
dir{31} = 'K:\CognitiveRadar\spectrum
monitoring\data\building204-4c085\Air test 100MHz 1GHz\';
filename{31} = 'Air test 100MHz 1GHz 02 19 14 07 20 46';
str_meta =
sprintf('%s%s.mspecrawdata',dir{SELECT},filename{SELECT});
sprintf('%s%s.specrawdata',dir{SELECT},filename{SELECT});
% Get metadata on selected data file
fid meta = fopen(str meta);
META = textscan(fid_meta,'%s');
ave = META\{1\}\{1\};
ref = META{1}{2};
attn = META{1}{3};
rbw = META\{1\}\{4\};
% Read in data file
fid_data = fopen(str_data);
q=0;
f=0;
a=0;
while (g==0)
    ft=fgetl(fid_data);
    f=[f,str2num(ft)];
    at=fgetl(fid_data);
```

```
a=[a,str2num(at)];
    g=feof(fid_data);
end
f=f(2:end);
a=a(2:end);
InputIndex = length(a);
display (InputIndex);
InputArray = a;
% Determine resolution bandwidth
Bandwidth = f(3) - f(2);
% Plot data file
figure(1);
plot(f/1e6,a);
axis tight;
grid;
xlabel('Frequency (MHz)');
ylabel('Power (dBm)');
title(strcat(rbw,'--',ave,'--',ref,'--
',attn),'Interpreter','none');
% Determine some statistics values for data
M = mean(a);
Med = median(a);
S = std(a);
Max = max(a);
Min = min(a);
Kurt = kurtosis(a);
Range = abs(Min - Max);
Number = floor(Range);
Bins = Number * 2;
disp([M S Med Max Min Kurt]);
% Generate semi-disk structure
   part = 8;
   radius = 3;
   for i = 0: part
```

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```
ang = i*22.5;
        x = radius * cosd(ang);
        y = radius * sind(ang);
        xarray(i+1) = x;
        yarray(i+1) = y;
   end
   diskarray = -1*yarray;
for m = 1:InputIndex
    InputArray(m+part/2) = a(m);
end
for m = 1:part/2
    InputArray(m) = Min;
end
NewInputArray = length(InputArray);
for m = 1:part/2
    InputArray(NewInputArray+m) = Min;
end
TempTopHatArray = InputArray;
j=part;
% Apply semi-disk structure to RF spectrum
        for k = 1:InputIndex
            arraysum = InputArray(k:k+j)+ diskarray;
            TempTopHatArray(k) = max(arraysum);
NewInputArray = length(TempTopHatArray);
display(NewInputArray)
for m = 1:InputIndex
    TopHatArray(m) = TempTopHatArray(m+part/2);
end
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```

```
CountTopHat = length(TopHatArray);
display(CountTopHat);
% Plot RF spectrum with semi-disk structure applied
    figure(20);
    plot(TopHatArray);
% Plot orignal RF spectrum with overlay of the RF spectrum with
% semi-disk structure applied
figure(30);
plot(f/le6,a);
axis tight;
grid;
xlabel('Frequency (MHz)');
ylabel('Power (dBm)');
title(strcat(rbw,'--',ave,'--',ref,'--
',attn),'Interpreter','none');
hold on
plot(f/le6,TopHatArray,'r');
hold off;
ErosionIndex = 1;
ErosionArray = a;
DilationArray = a;
InputArray = TopHatArray;
% Plot RF spectrum with semi-disk structure applied
figure(2);
```

```
plot(InputArray);
PreviousPower = sum(a)/InputIndex;
display (PreviousPower);
 % Initialize parameters
j= 1;
DiffPower = 1;
DilationMedian = Med;
DilationMax = Max;
DilationCF = DilationMax-DilationMedian;
if DilationCF > 10 && j == 1
   display('CF');
% Perform Erosion operation on data file
     while DilationCF > 10
        for k = 1:InputIndex-j
         ErosionArray(k) = min(InputArray(k:k+j));
        end
        for k = InputIndex-j:InputIndex
             ErosionArray(k) = InputArray(InputIndex-j);
        end
% Plot results of Erosion operation
    figure(5);
    plot(ErosionArray);
% Perform Dilation operation on data file
    DilationArray = ErosionArray;
         for k = InputIndex:j+1
            DilationArray(k) = max(ErosionArray(k-j:k));
```

```
end
        for k = j:1
                DilationArray(k) = ErosionArray(j+1);
        end
% Plot results of Dilation operation
    InputArray = DilationArray;
    figure(6);
    plot(DilationArray);
    DilationPower = sum(DilationArray)/InputIndex;
    DilationMedian = median(DilationArray);
    DilationMax = max(DilationArray);
    DiffPower = abs(PreviousPower - DilationPower);
    DilationCF = DilationMax-DilationMedian;
    display ([j DilationPower DilationMedian DilationMax
DilationCF DiffPower]);
    PreviousPower = DilationPower;
    j = j+1;
     end
  % Check performance of the Erosion & Dilation to see if
operations
  % resulted have converged to accept criteria (If power
difference in
  % the RF spectrum is less than 0.1 between iterations previous
and
  % current processing of RF spectrum
        while DiffPower > 0.1
    display('CF & Power');
        for k = 1:InputIndex-j
            ErosionArray(k) = min(InputArray(k:k+j));
        end
        for k = InputIndex-j:InputIndex
            ErosionArray(k) = min(InputArray(k:InputIndex));
```

end

```
figure(5);
   plot(ErosionArray);
   DilationArray = ErosionArray;
         for k = InputIndex:j+1
            DilationArray(k) = max(ErosionArray(k-j:k));
         end
         for k = j:1
             DilationArray(k) = max(ErosionArray(k:1));
         end
    InputArray = DilationArray;
    figure(6);
   plot(DilationArray);
   DilationPower = sum(DilationArray)/InputIndex;
   DilationMedian = median(DilationArray);
   DilationMax = max(DilationArray);
   DiffPower = abs(PreviousPower - DilationPower);
   DilationCF = DilationMax-DilationMedian;
   display ([j DilationPower DilationMedian DilationMax
DilationCF DiffPower]);
   PreviousPower = DilationPower;
    j = j+1;
    end
else
   while DiffPower > 0.1
   display('Power');
        for k = 1:InputIndex-j
            ErosionArray(k) = min(InputArray(k:k+j));
        end
        for k = InputIndex-j:InputIndex
            ErosionArray(k) = min(InputArray(k:InputIndex));
```

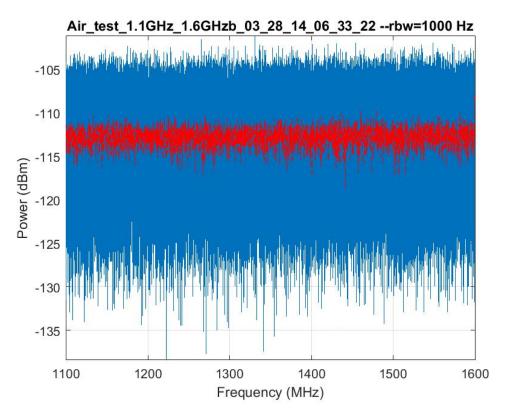
end

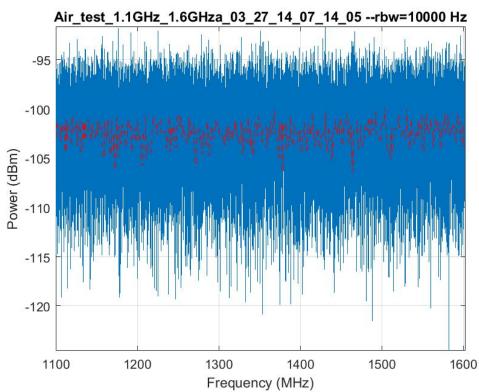
```
figure(5);
    plot(ErosionArray);
    DilationArray = ErosionArray;
         for k = InputIndex:j+1
            DilationArray(k) = max(ErosionArray(k-j:k));
         end
         for k = j:1
             DilationArray(k) = max(ErosionArray(k:1));
         end
    InputArray = DilationArray;
    figure(6);
    plot(DilationArray);
    DilationPower = sum(DilationArray)/InputIndex;
    DilationMedian = median(DilationArray);
    DilationMax = max(DilationArray);
    DiffPower = abs(PreviousPower - DilationPower);
    DilationCF = DilationMax-DilationMedian;
   display ([j DilationPower DilationMedian DilationMax
DilationCF DiffPower]);
    PreviousPower = DilationPower;
    j = j+1;
   end
end
 % Plot original RF spectrum and threshold
figure(3);
plot(f/le6,a);
axis tight;
grid;
xlabel('Frequency (MHz)');
ylabel('Power (dBm)');
```

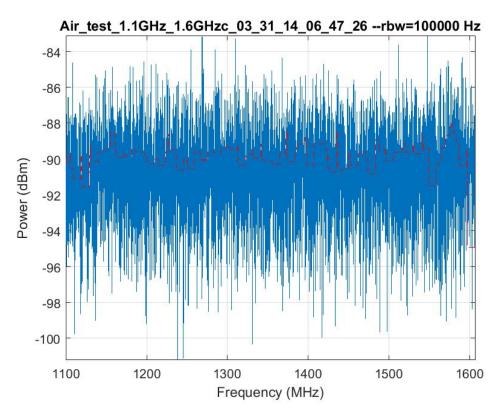
Approved for public release; distribution is unlimited.

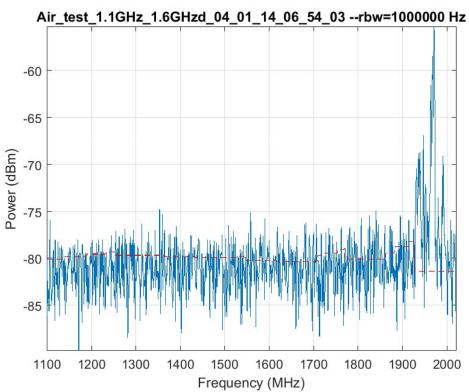
```
title(strcat(filename(SELECT), ' -- ',rbw,'
Hz'),'Interpreter','none');
hold on
% Determine threshold array
Threshold = DilationArray+25-(2.9*log10(Bandwidth));
plot(f/1e6,Threshold,'r');
hold off;
% Plot original RF spectrum and final results of Erosion &
Dilation
% processing
figure(10);
plot(f/le6,a);
axis tight;
grid;
xlabel('Frequency (MHz)');
ylabel('Power (dBm)');
title(strcat(filename(SELECT), ' -- ',rbw,'
Hz'),'Interpreter','none');
hold on
plot(f/le6,DilationArray,'y');
hold off;
% Save results to data file
lab = num2str(SELECT);
label = strcat('Disk2-Figure', lab, '.jpg');
saveas(gcf,label);
end
```

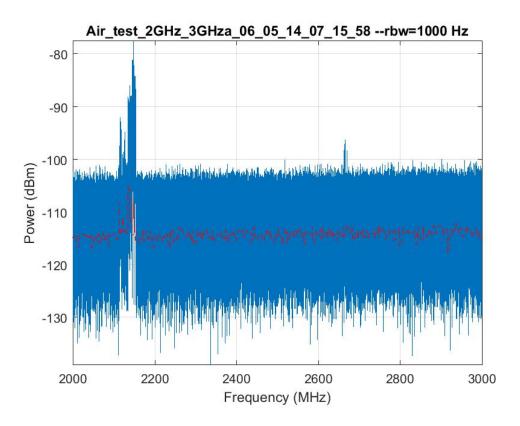
Appendix B. Graphs of Morphological Processed RF Spectrum Files

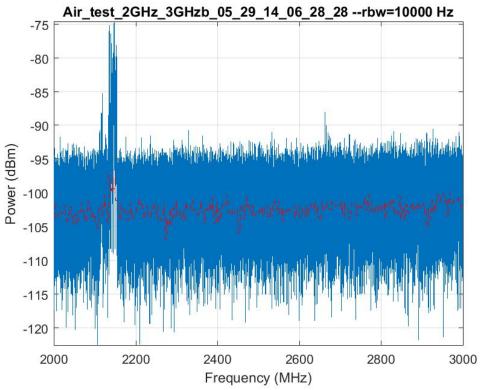


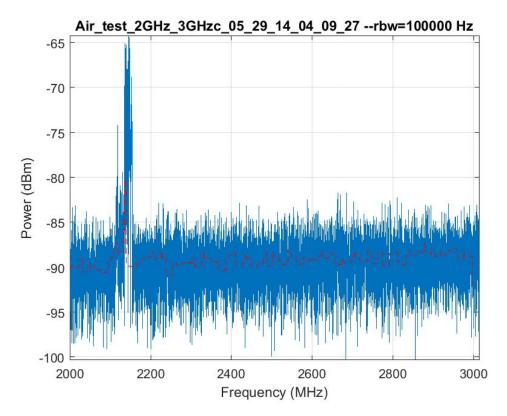


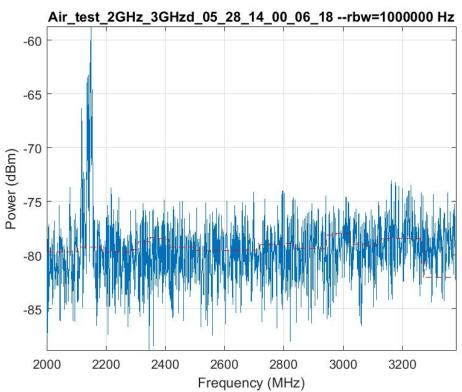


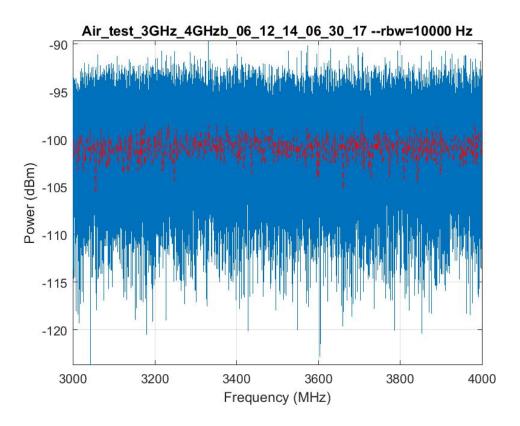


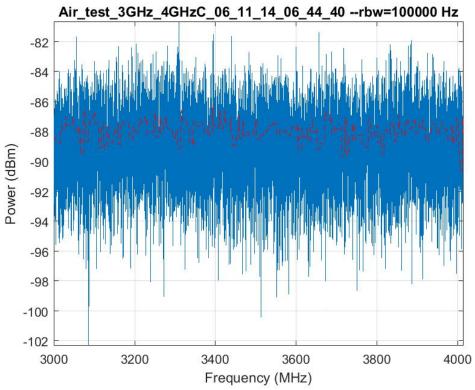


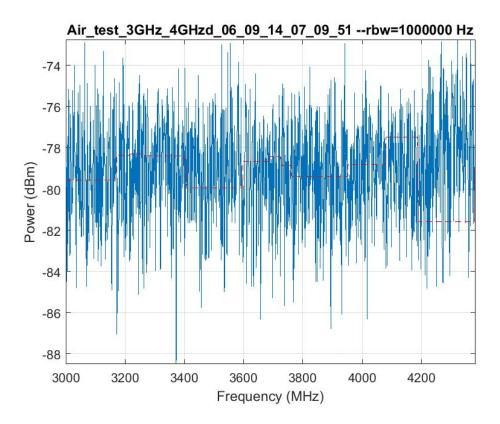


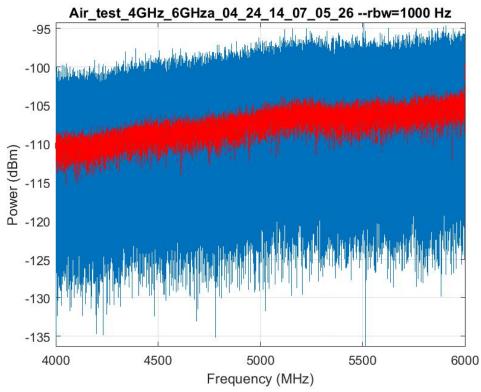


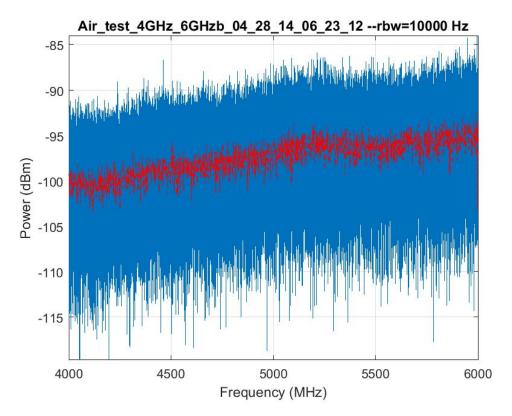


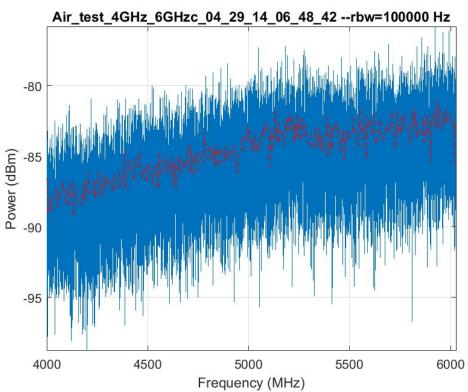


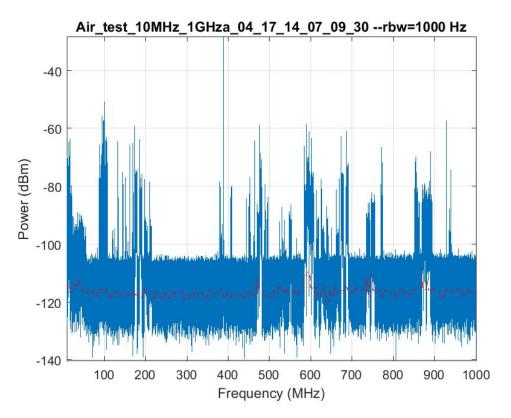


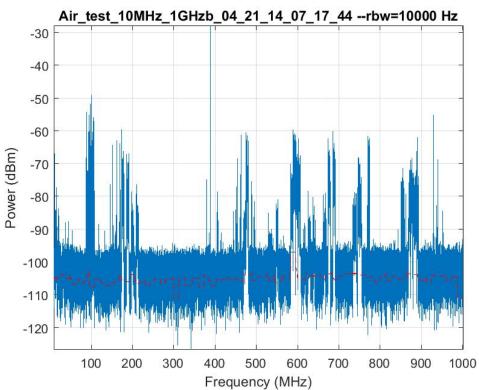


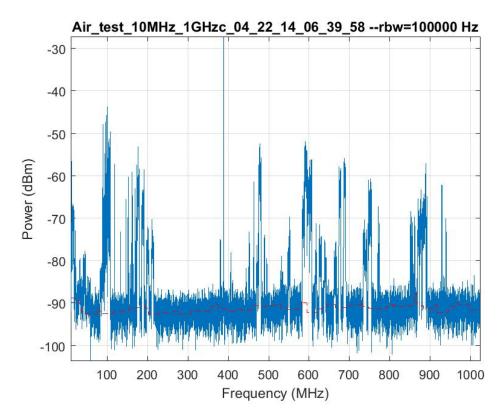


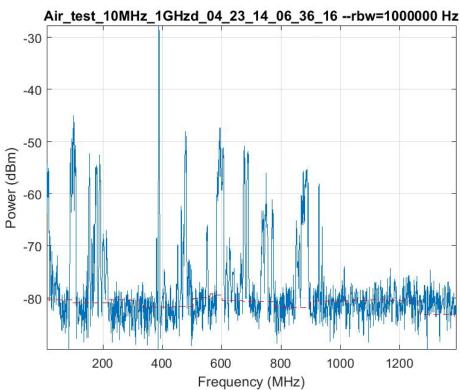


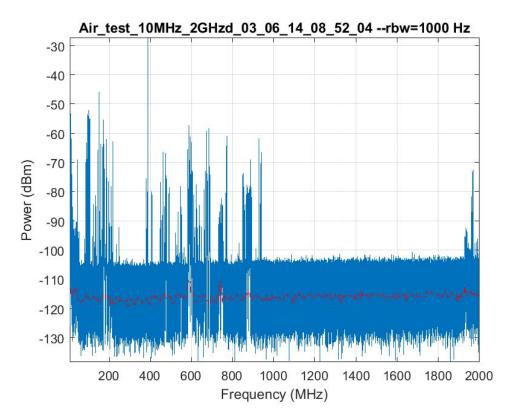


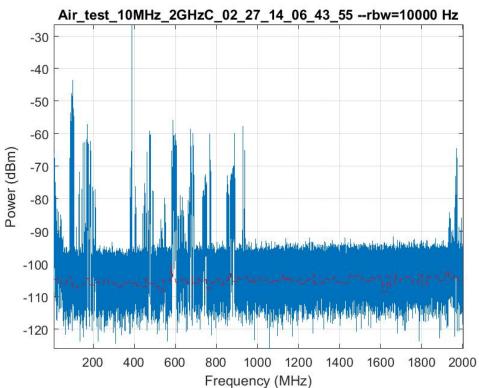


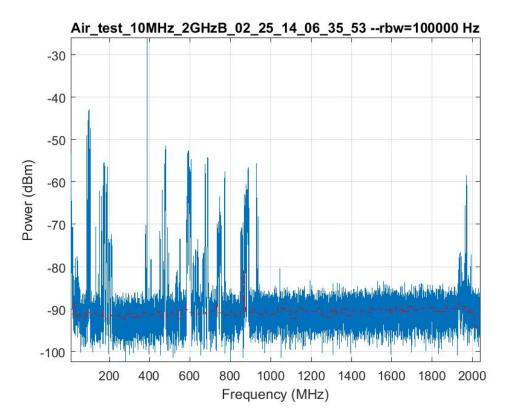


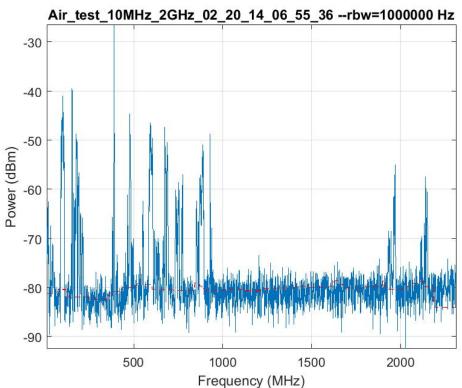


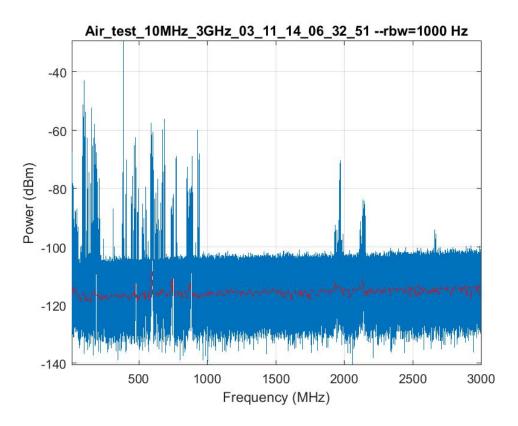


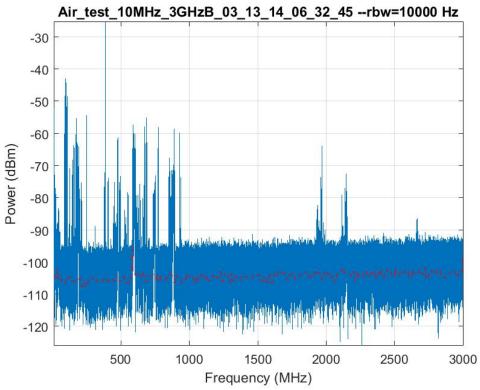


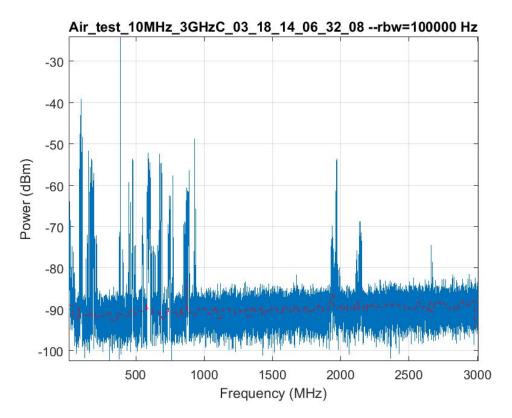


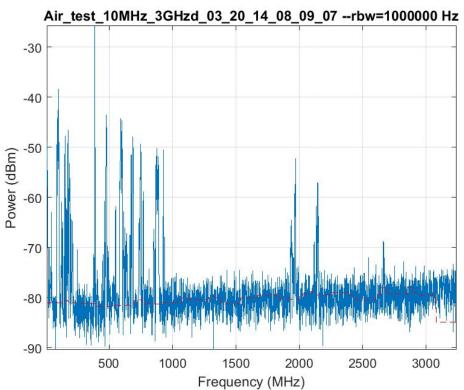


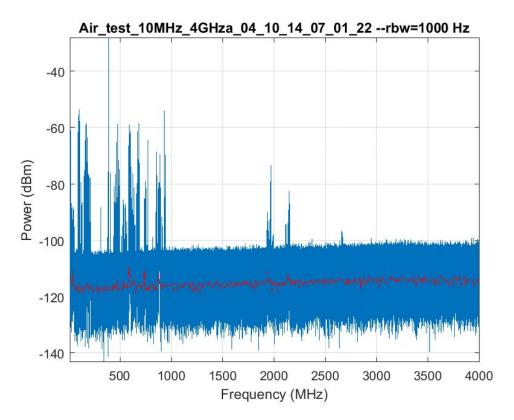


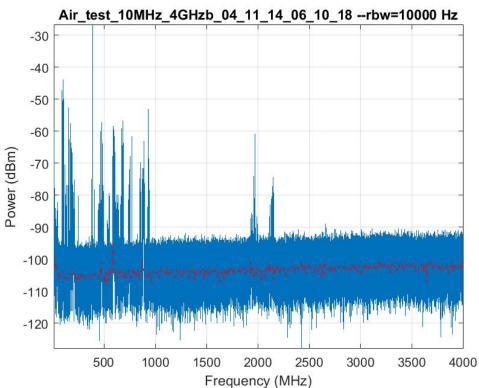


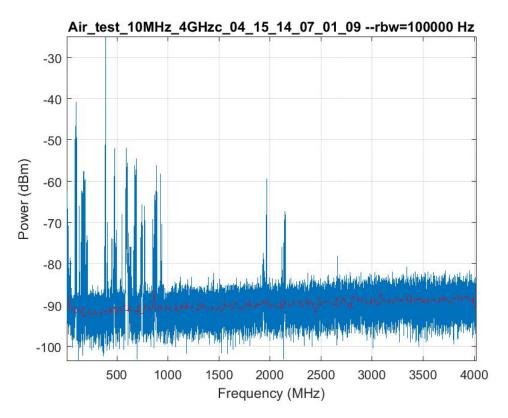


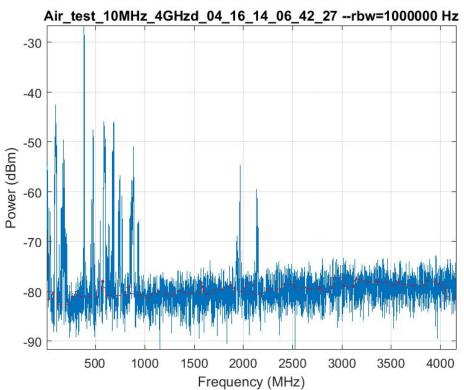


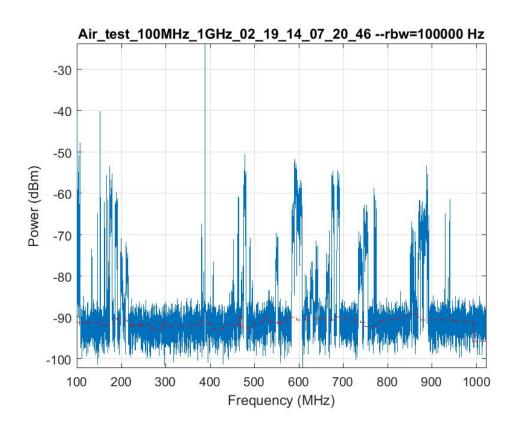






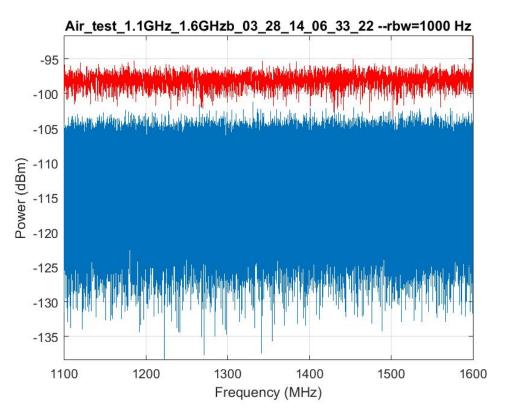


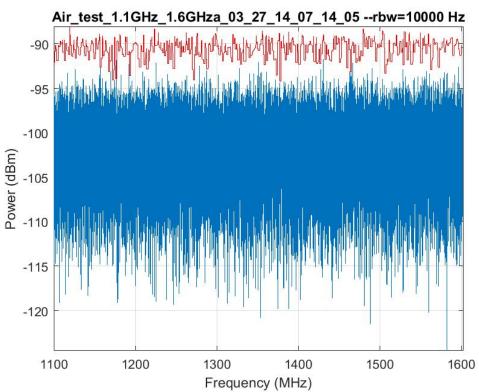


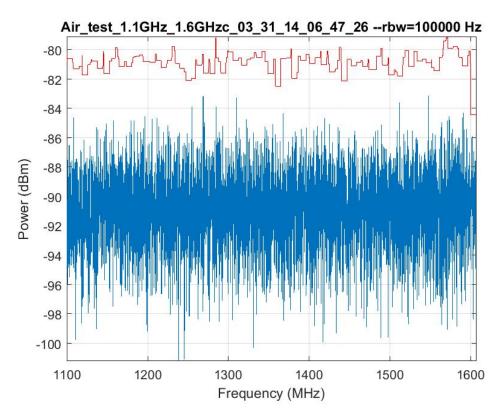


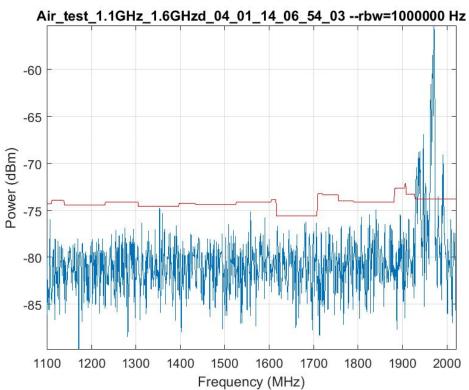
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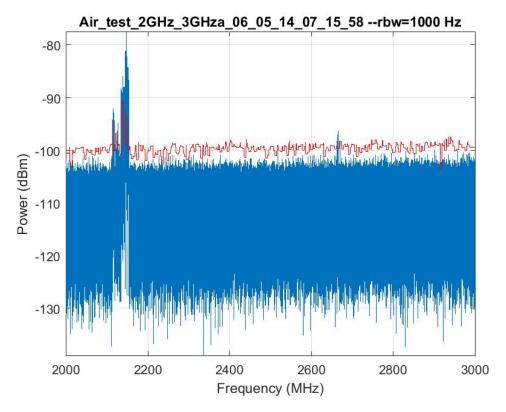
Appendix C. Graphs of RF Spectrum Files Calculated Detection
Threshold

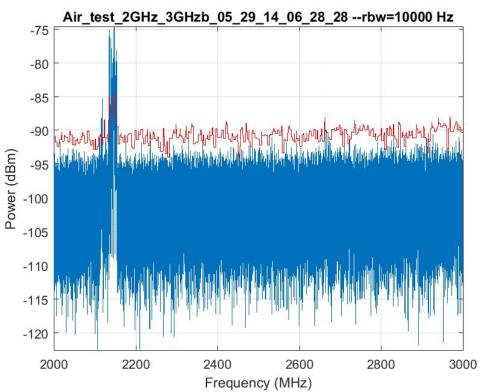


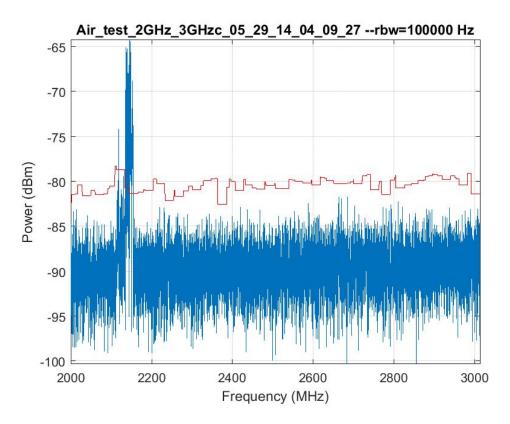


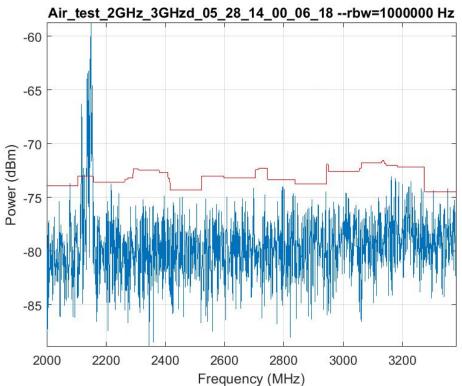


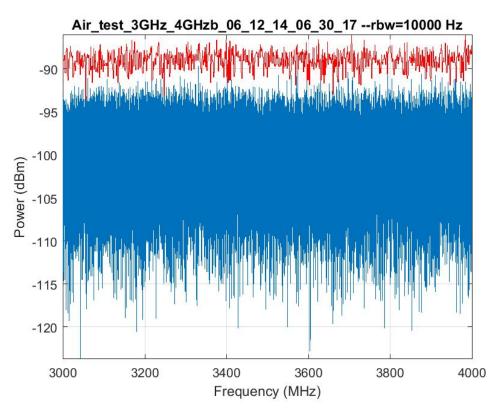


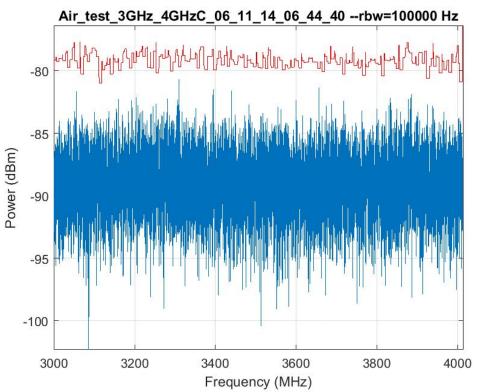


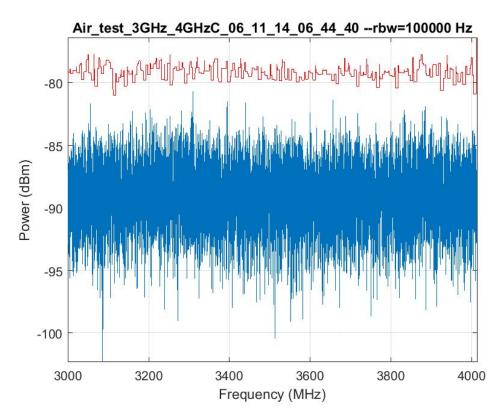


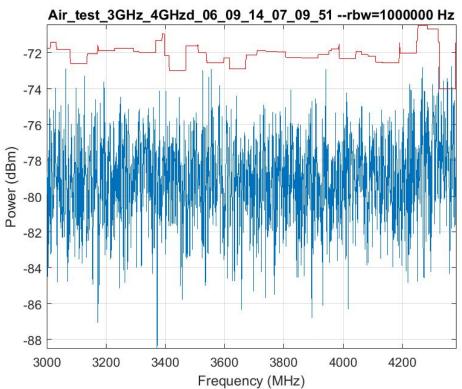


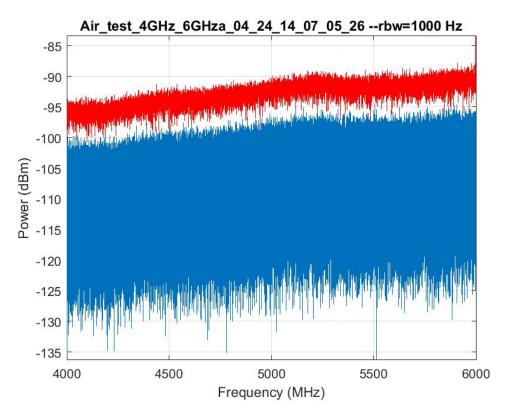


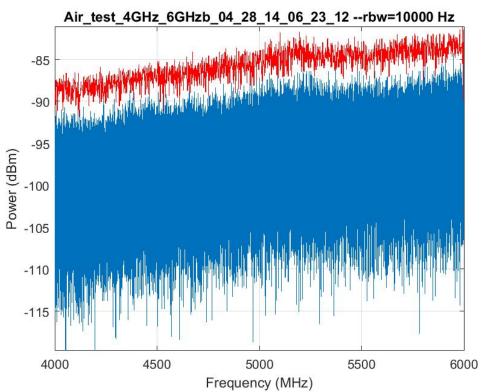


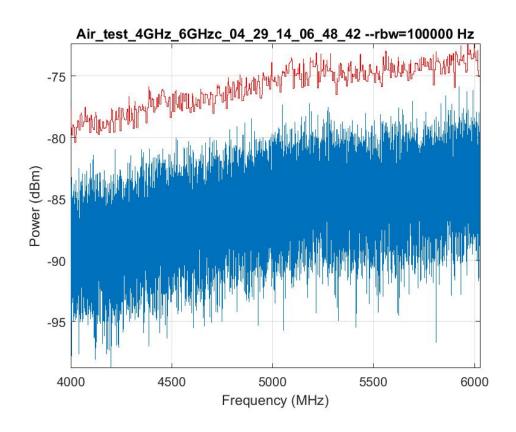


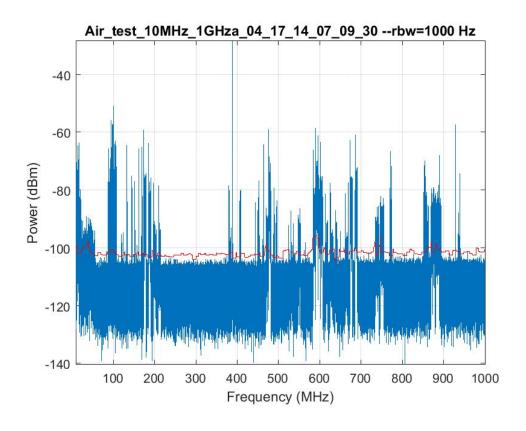


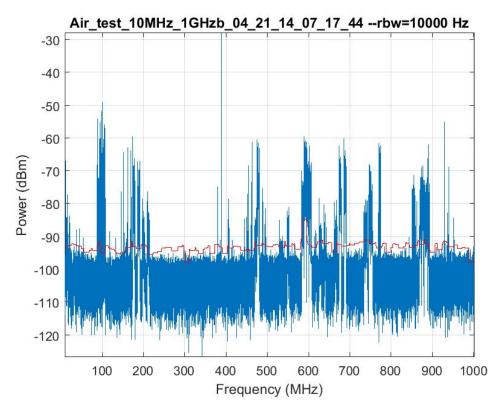


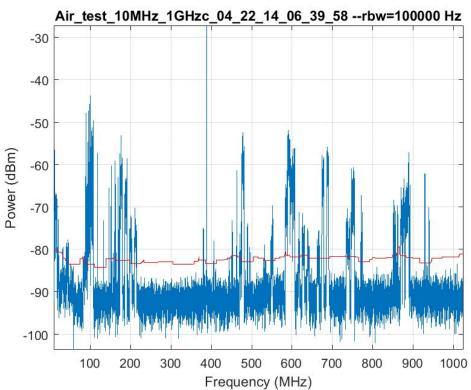


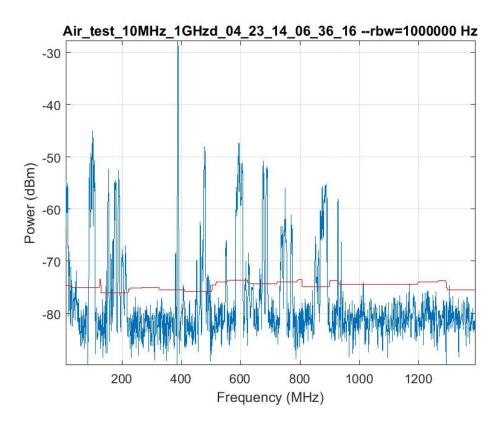


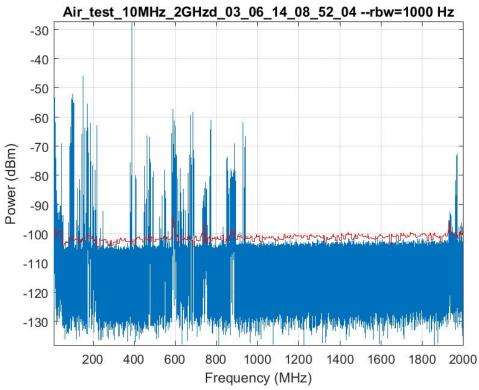


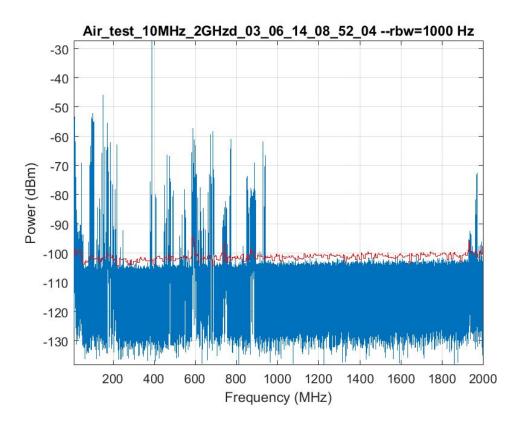


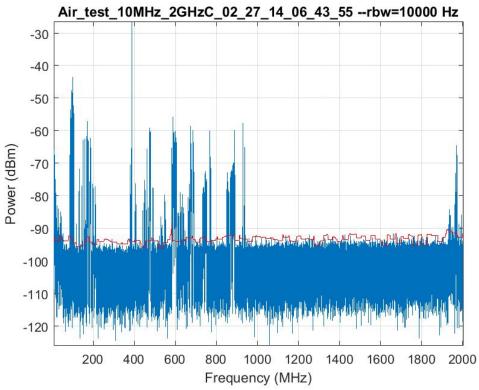


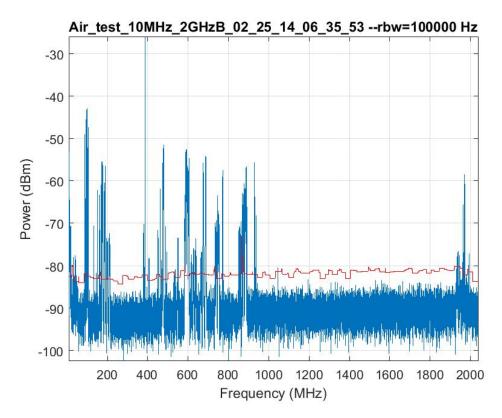


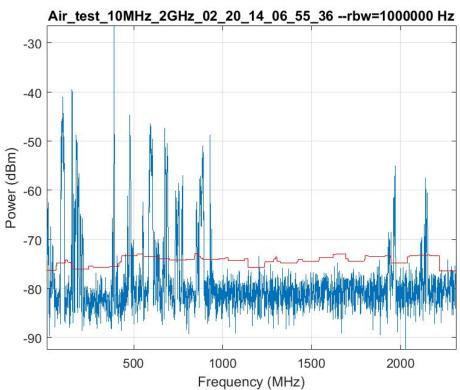


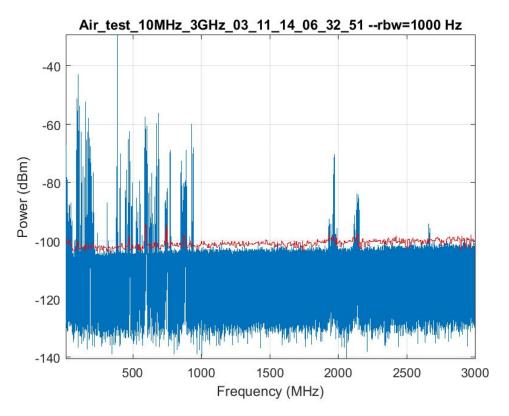


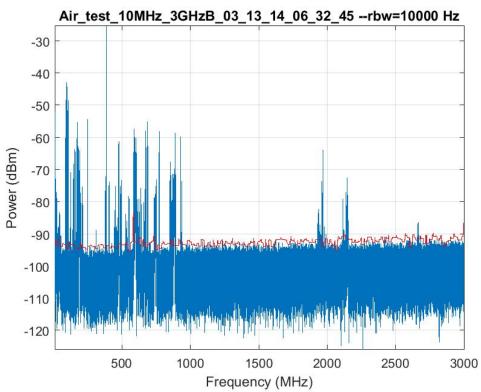


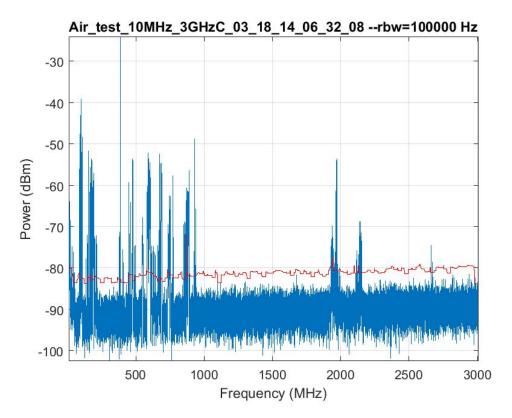


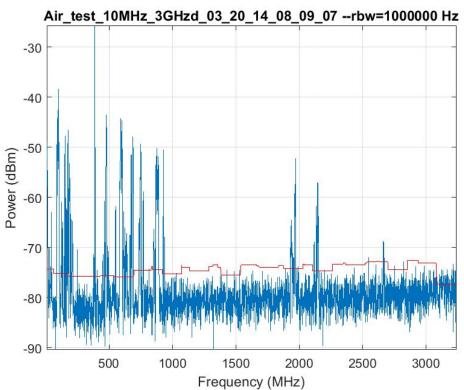


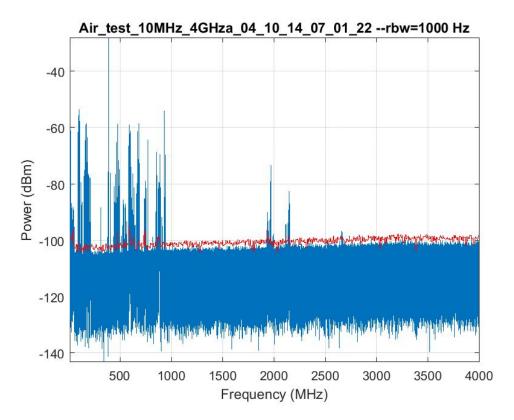


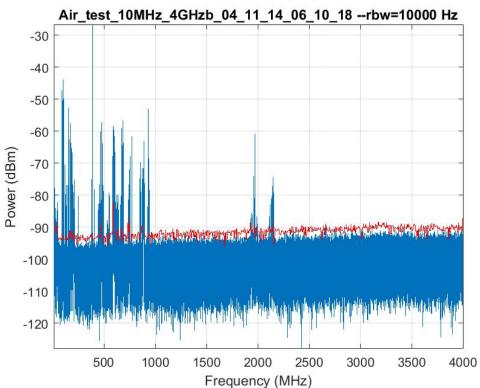


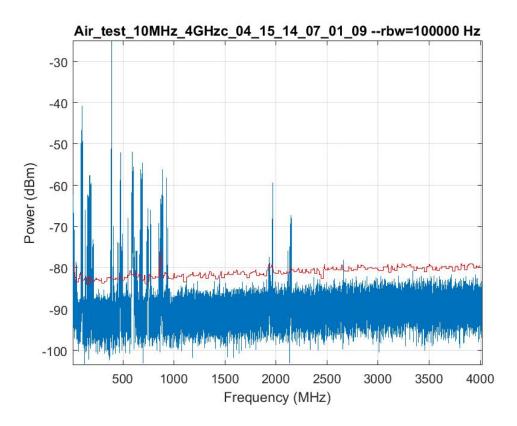


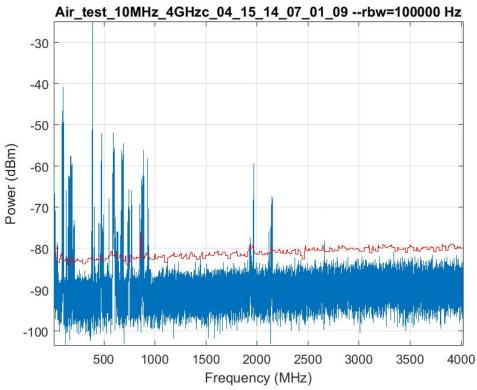


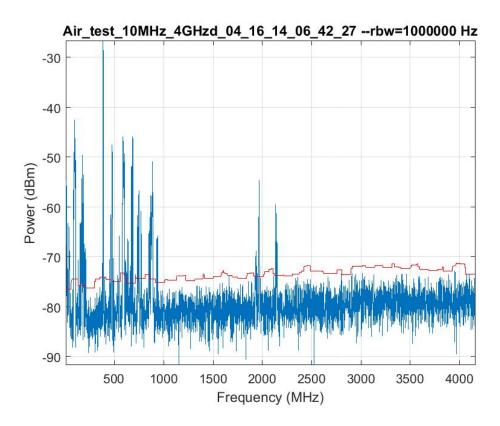


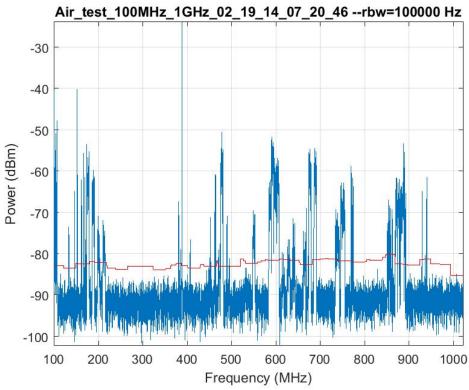












List of Symbols, Abbreviations, and Acronyms

ARL US Army Research Laboratory

CF crest factor

GHz gigahertz

kHz kilohertz

MHz megahertz

PDF probability density function

RBW resolution bandwidth

RF radio frequency

1 DEFENSE TECHNICAL

(PDF) INFORMATION CTR DTIC OCA

2 DIR ARL

(PDF) IMAL HRA

RECORDS MGMT

RDRL DCL

TECH LIB

1 GOVT PRINTG OFC

(PDF) A MALHOTRA

7 ARL

(PDF) RDRL SER E

M CONN

R DEL ROSARIO

K F TOM

D WASHINGTON

RDRL SER M

J SILVIOUS

RDRL SER U

A MARTONE

RDRL SER W

K RANNEY